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| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 06275-423US1 |
| INTERNATIONAL APPLICATION NO. PCT/SE03/00856 | INTERNATIONAL FILING DATE 20 May 2003 | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/516557 |
| TITLE OF INVENTION NOVEL SUBSTITUTED INDOLES | | PRIORITY DATE CLAIMED 30 May 2002 |
| APPLICANT(S) FOR DO/EO/US Roger Bonnert, Stephen Brough, Tony Dickinson, Rukhsana Rasul, Hitesh Sangane and Simon Teague | | |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input checked="" type="checkbox"/> The US has been elected (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A preliminary amendment. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A power of attorney and/or change of address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Other items or information: Copy of the International Application as filed; International Search Report (7 pages); International Preliminary Report on Patentability (7 pages) | | |
| <p>CERTIFICATE OF MAILING BY EXPRESS MAIL Express Mail Label No. EL 964757923 US Date of Deposit: November 30, 2004</p> | | |

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.57) 107516557 | INTERNATIONAL APPLICATION NO. PCT/SE03/00856 | ATTORNEY'S DOCKET NUMBER 06275-423US1 |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: | | CALCULATIONS PTO USE ONLY |
| Basic National Fee (37 CFR 1.492 (a) (1) - (5)): | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1110 | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$950 | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$790 | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$750 | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100 | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | |
| \$1,110.00 | | |
| Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | |
| \$0.00 | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA |
| Total Claims | 8 - 20 = | 0 |
| Independent Claims | 2 - 3 = | 0 |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) | | + \$300 |
| TOTAL OF ABOVE CALCULATIONS = | | |
| \$1,110.00 | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | |
| SUBTOTAL = | | |
| \$1,110.00 | | |
| Processing fee of \$130 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)) | | |
| \$0.00 | | |
| TOTAL NATIONAL FEE = | | |
| \$1,110.00 | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | |
| \$40.00 | | |
| TOTAL FEES ENCLOSED = | | |
| \$1,150.00 | | |
| | | Amount to be refunded: |
| | | charged: |
| \$ | | |
| \$ | | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$1,150.00 to cover the above fees is enclosed. | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed. | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status. | | |
| SEND ALL CORRESPONDENCE TO: | | |
| PTO Customer No: 26164 | | <i>Catherine M. McCarty Reg. 10,59,38</i> |
| | | SIGNATURE |
| | | Janis K. Fraser, Ph.D., J.D. |
| | | NAME |
| | | 34,819 |
| | | REGISTRATION NUMBER |